05/19/04

SYPRESS MAIL NO. EV335608245US

MAY 1 8 2004

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

| Application Number | 10/000,467 | |
|----------------------|------------------|--|
| Filing Date | October 24, 2001 | |
| First Named Inventor | Jeffrey Van Ness | |
| Art Unit | 1637 | |
| Examiner Name | Jezia Riley | |
| Attorney Docket No. | 780068.418C3 | |

| | Attorney Docket No. | 780068.418C3 |
|--|--|--|
| - | ENCLOSURES (check all that app | dv) |
| Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Second Supplemental Information Disclosure Statement; Form PTO-1448 2 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 Response to Missing Parts/Incomplete Application | Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund | CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): |
| SIGNAT | URE OF APPLICANT, ATTORNEY, | OR AGENT |
| Individual Name Qing Lin, Freg. No. 5 Signature Date May 18, 20 | Ph.D. i3,937 | Customer Number 00500 |
| I hereby certify that this corres with the United States Postal | RTIFICATE OF TRANSMISSION/MA spondence is being facsimile transmit Service with sufficient postage as firs for Patents, P.O. Box 1450, Alexand | tted to the USPTO or deposited t class mail in an envelope |
| Signature | | Date: |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| | XPRESS MAIL NO. EV3356082 | 245U\$ | S . | | |
|-----------|---------------------------------------|--------|--------------|--------------------------------|------------------------------|
| MAI | FEE TRANSI | MIT | ΓΤΔΙ | A aliantia Number | Complete if Known 10/000,467 |
| RAT & TRA | for FY 20 | | | Application Number Filing Date | October 24, 2001 |
| | Patent fees are subject to a | | | First Named Inventor | Jeffrey Van Ness |
| ı | | | - CVISION. | Examiner Name | Jezia Riley |
| 1 | Applicant claims small entity status. | . See | 37 CFR 1.27. | Art Unit | 1637 |
| | TOTAL AMOUNT OF PAYMENT | (\$) | 180.00 | Attorney Docket No. | 780068.418C3 |

| | MET | THOD O | F PAYMENT | | | | | | FEE C | ALCULATION (continued) | _ |
|------------------------------|-----------------|------------------|---------------------------------------|-------------|-----------------------|----------------------------|-------------|-------------|-------------|--|----------|
| Payment Encl | osed: | | | | | 3. <u>ADDI'</u> Large B | | FEES Sm | a a ll | | |
| Check | _ | rd 📗 | Money Order | Othe | er | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | |
| Deposit Accou | ınt: | | <u></u> | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | Γ |
| Deposit Account Number | 19-109 | 90 | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit | | | | | | 1053 | 130 | 1053 | 130 | Non-English specification | Γ |
| Account Name | PLLC | ntellec | tual Property | y Law G | roup | 1812 | 2520 | 1812 | 2520 | For filing a request for ex parte reexamination | |
| The Director is au | ıthorized 1 | o (chec | k all that apply | <i>(</i>) | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| i H | e(s) indicate | | | • | verpayments | 1805 | 1840* | 1805 | 1840* | Requesting publication of SIR after Examiner action | |
| Charge an | y additional | tee(s) d | luring the pende | ency of thi | is application | 1251 | 110 | 2251 | 55 | Extension for reply within first month | L |
| l H · | • • | | , except for the | e filing fe | e | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| | y deficienci | | | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| to the above-identific | ea deposit a | account. | | | | 1254 | 1480 | 2254 | 740 | Extension for reply within fourth month | |
| | FE | E CAL | CULATION | | | 1255 | 2010 | 2255 | 1005 | Extension for reply within fifth month | |
| 1. BASIC FILING | FEE | • | | | _ | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| | mall Entity | L | | | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| Fee Fee(\$) | | ee(\$) | Fee Description | on | Fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | L |
| 1001 770 | 2001 | 385 | Utility filing fee | | Paid | 1451 | 1510 | 1451 | 1510 | Petition to institute a public use proceeding | L |
| 1002 340 1003 530 | 2002 2003 | 170 265 | Design filing fee Plant filing fee | е | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | L |
| 1004 770 | 2004 | 385 | Reissue filing for | ee | | 1453 | 1330 | 2453 | 665 | Petition to revive – unintentional | L |
| 1005 160 | 2005 | 80 | Provisional filin | g | | 1501 | 1330 | 2501 | 665 | Utility issue fee (or reissue) | L |
| | | | fee | | | 1502 | 480 | 2502 | 240 | Design issue fee | L |
| | | | SUBTOTAL (1 | 1) (| (\$) | 1503 | 640 | 2503 | 320 | Plant issue fee | L |
| 2. EXTRA CLAIM | FEES FO | R UTILI | ITY AND REIS | | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| | | | Extra | Fee from | Fee | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | L |
| Total Claims | | .•• <u>-</u> [| Claims + | below | Paid = | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 1 |
| Independent Claims | | -** = [| * [| | = | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Multiple Dependent | | | [| | = | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Large Entity Fee Fee | Small En | Fee | Fee Descrip | ition | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Code (\$) 1202 18 | Code 2202 | (\$) 9 | Claims in ex | |) | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1201 86 | 2201 | 43 | Independent | claims in | excess of 3 | 1802 | 900 | 1802 | 900 | Request for expedited examination of a | \vdash |
| 1203 290 | 2203 | 145 | | | aim, if not paid | 1002 | 900 | 1002 | 900 | design application | l |
| 1204 86 | 2204 | 43 | original pa | atent | ent claims over | Other fee | (specify | n) | | | |
| 1205 18 | 2205 | 9 | ** Reissue o and over | | excess of 20 atent | | | | | | L |
| | | SUB | TOTAL (2) | (\$) | | *Reduce | ed by Ba | sic Filinç | Fee Pa | sid SUBTOTAL (3) (\$) 180 |).00 |
| **ar number previou | sly paid, if gr | reater; Fo | or Reissues, see | above | | L | | | | | _ |

| | SUBMITTED BY | Customer Number | |
|----------------------|-----------------|---|-----------------|
| Name (Print/Type) | Qing Lin, Ph.D. | Registration No. Attorney/Agent) 53,937 | Customer Number |
| Signature | 1 Jun / | Date May 18, 2004 | 00500 |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



EXPRESS MAIL NO. EV335608245US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Jeffrey Van Ness et al.

Application No.

10/000,467

Filed

October 24, 2001

For

METHODS AND COMPOSITIONS FOR ENHANCING

SENSITIVITY IN THE ANALYSIS OF BIOLOGICAL-BASED

ASSAYS

Examiner

: Jezia Riley

Art Unit

1645

Docket No.

: 780068.418C3

Date

: May 18, 2004

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

05/20/2004 CCHAU1

00000102 10000467

01 FC:1806

180.00 OP

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Qing Lin, Ph.D.

Registration No. 53,937

QXL:ljt

Enclosures:

Postcard Check for \$180 Transmittal Form Fee Transmittal From (+ Copy) Form PTO-1449 Cited References (2)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Phone: (206) 622-4900 Fax: (206) 682-6031

\482661

| EXPRESS | MAN | NO. EV335608245U | | | | | | | eet 1 | of <u>1</u> | |
|----------------------------|--------------|---|---|---------------|------------------------------------|-------------------------|------|--------------|--------------------|-----------------|--|
| FORM PTO-144 (REV.7-80) | 9 9 | U.S. I | DEPARTMENT OF | | | | | LICATION NO. | | | |
| | MAY 1 8 2004 | | | | 780068.418C3 | | 10/ | 000,467 | | | |
| SR | | COND SUPPLEM | ENTAL | APPLICANTS | | | | | | | |
| INF | ORM | TION DISCLOSU | TION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | Jeffrey Van Ness et al. | | | | | |
| " TRADE | MARIE | (Use several sheets if nece | essary) | | FILING DATE GROUP ART UNIT | | | | | | |
| | | | | | October 24, 2001 1637 | | | | | | |
| | | | U.S. | PATENT | DOCUMENTS | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | | NAME | CLA | ss | SUBCLASS | FILING IF APPRO | DATE OPRIATE | |
| | AA | | | | | | | | | | |
| | AB | | | | | | | | | | |
| | AC | | | | | - | | | | | |
| | AD | | | | | - | • | | | | |
| • | AE | | | | | <u> </u> | | | | | |
| | AF | | | | | _ | | | | | |
| | AG | | | | | | | | | | |
| | AH | | | | | | | | | | |
| | ΑI | | | | <u> </u> | | • | | | | |
| | AJ | | | | | | | | | | |
| | | | FOREI | GN PATE | NT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | | COUNTRY | | | | TRANS YES | NO NO | |
| | AK | | | | | | | | | | |
| , | AL | | | | | | | | | | |
| | AM | | | | | | | | - | | |
| | AN | | | | | | | | | | |
| | АО | | | | | | | | | | |
| | | ОТНЕ | R PRIOR A | RT (Including | g Author, Title, Date, Pertinent P | Pages, Eıc. |) | | | | |
| | 4.5 | CONTRACTOR OF THE PARTY OF THE | | | Electrophore-Labeled | | | Albumin b | y Gas | | |
| | AP | | | | ectrophoric Release Ta | | | | | 99, | |
| | AQ | | hrlich, "A R | eview of N | Microfabricated Device | es for (| Gene | -Based Dia | agnosti | cs," | |
| • | | Hematologic | Pathology 9 | 2(1): 1-15, | 1995. | | | | | | |
| | AR | | | | | | | | | | |

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).